

FEDERAL BUREAU OF INVESTIGATION  
FOI/PA  
DELETED PAGE INFORMATION SHEET  
Civil Action# 1:16-cv-01256

Total Deleted Page(s) = 1  
Page 3 ~ Referral/Consult;

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X Deleted Page(s) X  
X No Duplication Fee X  
X For this Page X  
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U.S. Department of Justice

Federal Bureau of Investigation

Washington, D. C. 20535-0001

February 2, 2010

Mr. Brian Hollstein  
Oral History Project  
Society of Former Special Agents



b6 -1

Re: "The FBI Oral History Project Interview of Former FBI  
Special Agent Conrad V. Hassel"

Dear Mr. Hollstein,

This letter is in response to the January 5, 2010, submission for review, pursuant to the Federal Bureau of Investigation's (FBI) Prepublication Review Policy (PRP) (Manual of Administrative Operations and Procedures (MAOP), Part I, 1-24 and 26.1 through 26.4), of a transcript of an interview with former SA Conrad V. Hassel for "The Oral History Project."

The transcript was reviewed pursuant to the terms of the PRP and it was concluded that nothing contained in the manuscript fell within a restricted area of disclosure. Therefore, there is no objection to your providing the transcript, as presented, for publication.

Should you have any questions, please contact [redacted] at 540-868-1697. Thank you for your participation in the FBI's prepublication review process.

b6 -2

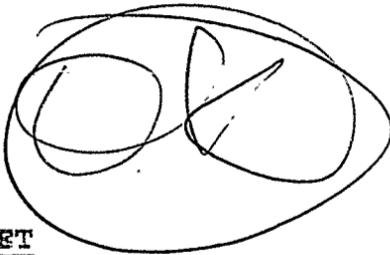
Sincerely,

*mailed 2/17/10*

David M. Hardy  
Section Chief  
Record/Information  
Dissemination Section  
Records Management Division

PREPUB-5915

*2010-HQ 210014-150*



PREPUBLICATION REVIEW OFFICE INPUT SHEET

Prepub #: PPR10-061

Date/Method of Receipt: FedEx 1/20/10

Requestor/Author Information:

Name: BRIAN HOLLSTEN / SOFSA'S

Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Telephone: \_\_\_\_\_ Fax: \_\_\_\_\_

Email: \_\_\_\_\_

Title/Description: \_\_\_\_\_ # of pages: 33

INTERVIEW OF FORMER SA CONRAD V. HASSEL

Assignment Information:

Assigned to:   Hardy

b6 -2

Difficulty:      Simple                      Medium                      Difficult

Estimated Completion Date: \_\_\_\_\_

Closed: 2/17/10      Date of Response Letter: \_\_\_\_\_

Miscellaneous: ack letter 1/25/10

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_



U.S. Department of Justice

Federal Bureau of Investigation

Washington, D. C. 20535-0001

February 2, 2010

Central Intelligence Agency  
Publication Review Board  
Attention: [redacted]  
1 H 11 IP Building  
Washington, DC 20505

b6 -3

Re: A chapter written by former FBI employee Harvey  
Rishikof for a manuscript by Roger George

Dear [redacted]

b6 -3

This letter is in response to your January 8, 2010, request for review of a chapter written by former FBI employee Harvey Rishikof for a manuscript by Roger George, pursuant to the Federal Bureau of Investigation's (FBI) Prepublication Review Policy (PRP) (Manual of Administrative Operations and Procedures, Part I, 1-24 and 26.1 through 26.4).

The manuscripts were reviewed pursuant to the terms of the PRP and it was concluded that no classified FBI information was contained in the submissions. Therefore, there is no objection to Mr. Rishikof providing the manuscripts, as presented, for publication.

Should you have any questions, please contact [redacted] at 540-868-1697.  
Thank you for your participation in the FBI's prepublication review process.

b6 -2

Sincerely,

David M. Hardy  
Section Chief  
Record/Information  
Dissemination Section  
Records Management Division

*Treat AS Yellow Copy*

*Faxed 2/17/10*

PREPUB-5918

57A-HQ-C1629046-152



# FBI FACSIMILE COVER SHEET

## PRECEDENCE

- ☐ Immediate  
☐ Priority  
☒ Routine

## CLASSIFICATION

- ☐ Top Secret  
☐ Secret  
☐ Confidential  
☐ Sensitive  
☒ Unclassified

Time Transmitted: 7:10 AMSender's Initials: [Redacted]Number of Pages: 2

(including cover sheet)

b6 -2

To: Publication Review Board  
Name of OfficeDate: 2/17/10Facsimile Number: 703-613-3004Attn: [Redacted]

Name

Room

Telephone

b6 -3

From: FBI Prepublication Review Office  
Name of OfficeSubject: Approval letter Roger George chapterSpecial Handling Instructions: 3Originator's Name: [Redacted]Telephone: 540-868-1697

b6 -2

Originator's Facsimile Number: 703 540-868-4996

Approved: \_\_\_\_\_

Brief Description of Communication Faxed: \_\_\_\_\_

CIA Rec 2/17/10

WARNING

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DEADLINE BY  
2/1/10

PREPUBLICATION REVIEW OFFICE INPUT SHEET

Prepub #: PPR10-054

Date/Method of Receipt: Scion 1/8/10

Requestor/Author Information: CIA

Name: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Telephone: \_\_\_\_\_

Fax: \_\_\_\_\_

Email: \_\_\_\_\_

Title/Description: \_\_\_\_\_

# of pages: 92

*Referral on pgs 338-428 for Harvey Rishikof  
former FBI employee's MANUSCRIPT.*

Assignment Information:

Assigned to:  Hardy

Difficulty:

Simple

Medium

Difficult

Estimated Completion Date: \_\_\_\_\_

Closed: 2/17/10

Date of Response Letter: \_\_\_\_\_

Miscellaneous: 1/8/10 CU Review returned 1/25/10

*unclassified*  
Approval  
FAX

703-613-3004

# RIDS CLASSIFICATION REVIEW REQUEST

To: ☒ Classification Unit I  
☐ Classification Unit II  
☐ Classification Unit III

From: ☐ FOI/PA ☐ CDRU ☐ NNCPS  
☒ PrePub ☐ Other:

Requester: CIA  b6 -3  
Subject: Pgs for MANUSCRIPT by Former FBI Employee Harvey Rishikof  
FOIPA #: \_\_\_\_\_ NNCPS #: \_\_\_\_\_ Other #: PPR10-054

☐ Regular Review ☐ Special ☒ Deadline ☐ Other: \_\_\_\_\_

After reviewing these records to determine if classification is presently warranted, indicate your determination and return it and the records to:

Name:  b6 -2

Location: 0-3 Phone #: 868-1697

Total Number of Volumes (To be reviewed): \_\_\_\_\_

Total Number of References (To be reviewed): \_\_\_\_\_

Files enclosed for review (include any prior releases that should be considered).

92 pgs

Additional Instructions:

2/1/10

# RIDS CU ADDENDUM COVER PAGE

To: ☐ FOI/PA ☐ CDRU ☐ Referral  
☒ PrePub ☐ Criminal Discovery ☐ NNCPS  
☐ Litigation ☐ Other

Requester:                     

b6 -2

Subject: Harvey Rishikof Manuscript

LAS:                     

b6 -2

Room #: ICRC/O-3 Phone #: 540-868-1697

File #: Former FBI Employee Harvey Rishikof manuscript pgs. 338-428

## Addendum prepared by:

☒ Classification Unit I ☐ Classification Unit II ☐ Classification Unit III

SLAS:                     

LAS:                     

Extension:                     

b6 -2

Room: ICRC/EE-01

Addendum Date: 1-25-2010

Classified pages: 0

Total pages: 92

## Special Instructions:

The above pages have been reviewed. There was found to be no classifiable FBI information contained within. This review was conducted pursuant to Executive Order 12958, as amended. Please be advised that a Law Enforcement Sensitivity review may still be warranted.

b6 -2

[redacted]

---

**From:** Tafoya, William [redacted]  
**Sent:** Thursday, February 04, 2010 10:51 AM  
**To:** [redacted]  
**Subject:** FW: Article for Pre-Publication Review  
**Attachments:** Osama bin Laden text.docx; Osama bin Laden references.docx

b6 -1

b6 -2

b6 -2

I'm in [redacted] I heard my cell ring at 5:56 AM local time, but didn't get to it before you left a message. I appreciate your prompt return of my message left yesterday.

b6 -1

I sent my article to FBI New Haven two weeks ago. I am disappointed that it was not forded to you. Two documents are attached, the article & my sources. I'm hopeful that you will have the opportunity to review it quickly. I will call you in a few minutes to confirm your receipt of this e-mail. Thank you.

William L. Tafoya, Ph D

[redacted]

b6 -1

---

**From:** Tafoya, William  
**Sent:** Mon 1/25/2010 8:34 PM  
**To:** [redacted]  
**Subject:** RE: Bill Tafoya: Article for Pre-Publication Review

b6 -2

b6 -2

Another article, this one on bin Laden. Attached are two files. One, the text. The other are the references. The article was prepared to be included in the forthcoming Encyclopedia on U.S. Intelligence, to be published by Taylor & Francis.

A quick turn around from [redacted] would be very much appreciated so I can get it to the publisher who is waiting on it. b6 -2

btw, the article I wrote for ASIS is supposed to be published this week on-line. I don't have the URL yet. Thank you.

Bill

[redacted]

b6 -1

---

**From:** [redacted]  
**Sent:** Mon 12/14/2009 8:56 AM  
**To:** Tafoya, William

b6 -2

ENC. BEHIND FILE

2/4/2010

PREPUB-5923

259A-HQ-C1629046-153



U.S. Department of Justice

Federal Bureau of Investigation

Washington, D. C. 20535-0001

February 17, 2010

William L. Tafoya, Ph.D.



b6 -1

Re: Manuscript titled, "Osama bin Laden"

Dear Dr. Tafoya,

This letter is in response to the February 4, 2010, submission for review, pursuant to the Federal Bureau of Investigation's (FBI) Prepublication Review Policy (PRP) (Manual of Administrative Operations and Procedures (MAOP), Part I, 1-24 and 26.1 through 26.4), of your manuscript titled, "Osama bin Laden."

The manuscript was reviewed pursuant to the terms of the PRP and it was concluded that nothing contained in the submission fell within a restricted area of disclosure. Therefore, there is no objection to your making the manuscript, as presented, for publication.

You may include your current title or position as a biographical detail if it is given no more prominence than other significant biographical details, and if it is accompanied by a reasonably prominent disclaimer advising that the views expressed in the article do not necessarily represent the views of the FBI.

Should you have any questions, please contact [redacted] at 540-868-1697. Thank you for your participation in the FBI's prepublication review process.

b6 -2

Sincerely,

*marked 2/19/10*  
David M. Hardy  
Section Chief  
Record/Information  
Dissemination Section  
Records Management Division

PREPUB-5924

257A-HQ-C1629046-154

AS SOON AS  
Possible.

THANKS

PREPUBLICATION REVIEW OFFICE INPUT SHEET

Prepub #: PPR10-071

Date/Method of Receipt: UNET 2/4/10

Requestor/Author Information: DR. William

Tafayq

Name: \_\_\_\_\_

Address: \_\_\_\_\_

Telephone: \_\_\_\_\_ Fax: \_\_\_\_\_

Email: \_\_\_\_\_

Title/Description: \_\_\_\_\_ # of pages: 38

MANUSCRIPT, "Osama bin Laden"

Assignment Information:

Assigned to: [redacted] Hardy

b6 -2

Difficulty: Simple Medium Difficult

Estimated Completion Date: \_\_\_\_\_

Closed: 2/19/10 Date of Response Letter: \_\_\_\_\_

Miscellaneous: \_\_\_\_\_

b6 -2

[redacted]  
**From:** R. Holcomb [redacted]  
**Sent:** Thursday, October 01, 2009 3:01 PM  
**To:** [redacted]  
**Subject:** FW: Prepublication Book Review

b6 -1

b6 -2

Hello, [redacted] Can you give me any idea where my submission stands in the review process? b6 -2  
 Thanks for your help.  
 Ray Holcomb

**From:** [redacted]  
**To:** [redacted]  
**Subject:** RE: Prepublication Book Review  
**Date:** Wed, 15 Jul 2009 08:03:11 -0400

b6 -1,-2

Hello, [redacted] (on behalf of Mr. Hardy). Well, the fact that you were able to open the document is a very good start! I definitely had my share of problems with the system. I realize I didn't give you my cell phone which is [redacted] Again, if you need to speak to me during work hours please try [redacted] or leave a message on my cell. I look forward to hearing from you. Have a good day.

b6 -1,-2

**From:** [redacted]  
**To:** [redacted]  
**Date:** Wed, 15 Jul 2009 07:09:11 -0400  
**Subject:** RE: Prepublication Book Review

b6 -1,-2

> Good morning Mr. Holcomb,  
 >  
 > I'm not technology savvy either, but I followed the prompts and I was able to access your book.  
 >  
 > The Federal Bureau of Investigation (FBI) received your submission on July 14, 2009, requesting prepublication review of your manuscript titled, "Holcomb Manuscript."  
 >  
 > The FBI's prepublication review policy provided for a review period of thirty business days not counting the day of receipt. This review period can be extended depending upon the complexity of the materials being reviewed, but in most cases the reviews are completed within the thirty business day period. A review of your submission is in progress and we will advise of the results upon completion.  
 >

> Should you have any questions, please contact [redacted] at 540-868-1697. Thank you for your participation in the FBI's prepublication review process.

b6 -2

> Sincerely,  
 > David M. Hardy, [redacted]  
 > Section Chief  
 > Record/Information  
 > Dissemination Section  
 > Records Management Division  
 >

b6 -2

**FILED**

10/13/2009

PREPUB-5926

259A-HQ-C 11629246-155

> -----Original Message-----

> From: R. Holcomb [REDACTED]  
> Sent: Tuesday, July 14, 2009 8:13 AM  
> To: [REDACTED]  
> Subject: Prepublication Book Review

b6 -1

b6 -2

> [REDACTED] thanks for the guidance yesterday. I am trying to send you my book manuscript in an encrypted Zip folder. I am told that by condensing the 445 pages onto a Zip folder I should have no problems with overloading servers, etc.. Frankly, I really don't understand all this technology.

b6 -2

> Hopefully someone in your office can help you open the folder. I will send you the password in a separate e mail.

> During my 23 year career I worked all the major investigative areas, e.g., organized crime, counter intelligence, counter narcotics, and counterterrorism. I retired in January of 2006 and I now work as a consultant in the Washington, D.C. area. I have made every effort to conceal or avoid discussing sensitive or ongoing investigations, but, of course, I can't be sure that the Bureau will agree. This is why I'm sending you the manuscript "encrypted".

> During working hours I can generally be reached at [REDACTED] I can't bring my cell phone into my work space so I usually check it once or twice a day, and I will try to be prompt in getting back to you.

b6 -1

> In any event, thanks for your help.

> Best regards,

> Raymond W. Holcomb

>



U.S. Department of Justice

Federal Bureau of Investigation

Washington, D. C. 20535-0001

December 15, 2009

Mr. Raymond W. Holcomb



b6 -1

Re: Manuscript entitled, "Holcomb Manuscript"

Dear Mr. Holcomb,

This letter is in response to your submission of a manuscript entitled, "Holcomb Manuscript", for review pursuant to the Federal Bureau of Investigation's (FBI) Prepublication Review Policy (PRP) (Manual of Administrative Operations and Procedures, Part I, 1-24 and 26.1 through 26.4).

A portion of the manuscript has been reviewed pursuant to the terms of the PRP and the reviewer noted the following listed matters which require amendment/correction:

1. The names of the FBI Agents who worked the various cases were used in the manuscript. Unauthorized use of third party information is prohibited. Therefore, the use of the names of these agents will require the individual's expressed written consent or use of a generic reference concerning them.

*opinion OK* 2. On page 316, the second full paragraph, starting with the third sentence through the end of the paragraph. This portion of the manuscript involves sensitive information that should be withheld from public disclosure.

*opinion OK* 3. On page 324, the third paragraph, starting with the fourth sentence and concluding at the end of the sentence at the top of page 325. This portion of the manuscript involves sensitive information that should be withheld from public disclosure.

*opinion OK* 4. On page 325, the first full paragraph, the first sentence starting after "short order..through the end of the sentence. This information involves sensitive information that should be withheld from public disclosure.

*alternative* 5. [Redacted] This portion of the manuscript involves sensitive information should be withheld from public disclosure.

b7E -3

*Need to check* 6. [Redacted] This portion of the manuscript involves sensitive information that should be withheld from public disclosure.

b7E -3

*remove* 7. [Redacted] This portion of the text refers to sensitive information that should not be released to the public.

b7E -3,-6

*Newspaper articles?* 8. On page 394, the third full paragraph in its entirety. This portion of the manuscript includes sensitive information that should be withheld from disclosure.

*yes*

PREPUB-5928

259A-HQ-CIC 29046-156

Mr. Raymond W. Holcomb

*opinion*  
9. On page 395, the second full paragraph, the first sentence in its entirety. This portion of the manuscript contains sensitive information not be released to the public.

The above amendments are being forwarded to you to begin the amendment process.

Please submit a copy of the amended pages for review by this office before proceeding in this matter. Should you have any questions, please contact [redacted] at 540-868-1697. Thank you for your participation in the FBI's prepublication review process.

b6 -2

Sincerely,

*mailed 12/17/09*

David M. Hardy  
Section Chief  
Record/Information  
Dissemination Section  
Records Management Division



U.S. Department of Justice

Federal Bureau of Investigation

Washington, D. C. 20535-0001

January 19, 2010

Mr. Raymond W. Holcomb



b6 -1

Re: Manuscript entitled, "Holcomb Manuscript"

Dear Mr. Holcomb,

This letter is in response to your submission of amendment justifications you provided to our office regarding your manuscript entitled, "Holcomb Manuscript", for review pursuant to the Federal Bureau of Investigation's (FBI) Prepublication Review Policy (PRP) (Manual of Administrative Operations and Procedures, Part I, 1-24 and 26.1 through 26.4).

The explanations you provided were determined to justify the inclusion of the specified amendment 2, 3, 4, 8, and 9 named in your response letter. Please use alternative wording you provided for amendment 5 and 7. Also, per your email dated January 19, 2010, you agreed to make the changes in amendment 6. Upon receipt of this information, all of the amendments discussed in your letter are releaseable.

Please submit a copy of the amended pages for review by this office before proceeding in this matter. Should you have any questions, please contact [redacted] at 540-868-1697. Thank you for your participation in the FBI's prepublication review process.

b6 -2

Sincerely,

*Marked 1/25/09*

David M. Hardy  
Section Chief  
Record/Information  
Dissemination Section  
Records Management Division

PREPUB-5930

259A-HQ. C 1629046-157

February 5, 2010

Mr. David M. Hardy  
Section Chief  
Record/Information  
Dissemination Section  
Records Management Division

Re: Holcomb Manuscript

Dear Mr. Hardy,

As requested in your letter of January 19, I am enclosing copies of the corrected or amended wording that was approved by your Section.

As agreed, the published version of this manuscript will reflect the amendments exactly as set forth here.

I trust this is satisfactory.

Thank you and  for all of your assistance.

b6 -2

Best regards,

  
Raymond W. Holcomb

*P.S. at your earliest convenience please provide  
a final approval letter so I can proceed  
with publication.  
Thanks very much*

PREPUB-5931

259A-HQ-C1629046-158



U.S. Department of Justice

Federal Bureau of Investigation

Washington, D. C. 20535-0001

January 19, 2010

Mr. Raymond W. Holcomb



b6 -1

Re: Manuscript entitled, "Holcomb Manuscript"

Dear Mr. Holcomb,

This letter is in response to your submission of amendment justifications you provided to our office regarding your manuscript entitled, "Holcomb Manuscript", for review pursuant to the Federal Bureau of Investigation's (FBI) Prepublication Review Policy (PRP) (Manual of Administrative Operations and Procedures, Part I, 1-24 and 26.1 through 26.4).

The explanations you provided were determined to justify the inclusion of the specified amendment 2, 3, 4, 8, and 9 named in your response letter. Please use alternative wording you provided for amendment 5 and 7. Also, per your email dated January 19, 2010, you agreed to make the changes in amendment 6. Upon receipt of this information, all of the amendments discussed in your letter are releaseable.

Please submit a copy of the amended pages for review by this office before proceeding in this matter. Should you have any questions, please contact [redacted] at 540-868-1697. Thank you for your participation in the FBI's prepublication review process.

b6 -2

Sincerely,

David M. Hardy  
Section Chief  
Record/Information  
Dissemination Section  
Records Management Division



U.S. Department of Justice

Federal Bureau of Investigation

Washington, D. C. 20535-0001

February 17, 2010

Mr. Raymond W. Holcomb

b6 -1

Re: Manuscript titled, "Holcomb Manuscript"

Dear Mr. Holcomb,

This letter is in response to your submission of the amended pages for the manuscript titled, "Holcomb Manuscript" pursuant to the Federal Bureau of Investigation's (FBI) Prepublication Review Policy (PRP) (Manual of Administrative Operations and Procedures, Part I, 1-24 and 26.1 through 26.4).

The amended pages which you submitted were determined to have resolved the issues raised in my January 19, 2010, letter to you concerning the manuscript. Therefore, there is no objection to your submitting the manuscript, as amended, for publication.

You may include your current title or position as a biographical detail if it is given no more prominence than other significant biographical details, and if it is accompanied by a reasonably prominent disclaimer advising that the views expressed in the article do not necessarily represent the views of the FBI.

Should you have any questions, please contact [redacted] at 540-868-1697. Thank you for your participation in the FBI's prepublication review process.

b6 -2

Sincerely,

*mailed 2/19/10*

David M. Hardy  
Section Chief  
Record/Information  
Dissemination Section  
Records Management Division

PREPUB-5933

57A-HO-C16715-159

[REDACTED] (RMD) (FBI)

b6 -2

From: [REDACTED] (FBI)

b6 -2

Sent: Monday, January 18, 2010 11:53 PM

To: [REDACTED] (RMD) (FBI)

b6 -2

Subject: RE: Master Thesis

**UNCLASSIFIED**  
**NON-RECORD**

Hi [REDACTED]

b6 -2

I am closing in on the end of my thesis.....YEAHHHHH!

Anyway, I would like to start sending you some of the chapters that are ready for your review. What is the best e-mail for me to use to send it to you? I can't use FBINET so I assume that you have a UNET account?

Also, I will forward to you e-mails from FBI personnel I interviewed with their approval to be in the thesis along with approval from their division heads etc.

Thanks

[REDACTED]

b6 -2

From: [REDACTED] (RMD) (FBI)

b6 -2

Sent: Friday, June 12, 2009 2:28 AM

To: [REDACTED] (FBI)

b6 -2

Subject: RE: Master Thesis

**UNCLASSIFIED**  
**NON-RECORD**

Hello [REDACTED]

b6 -2

The Prepublication Review is 30 days from receipt of your paper, unless it has to be reviewed by any other division or agency. You can submit your paper all at once or when you get a chapter done. Give yourself plenty of time, if you can. We do our best to get these done in a timely manner, so you can graduate on time. I see by some of your previous emails that you are interviewing different people. Anyone above an ASAC is releasable, without their written permission. If they are below an ASAC, please get their permission. We do not have a standard form for permission. It can be just a blurb saying they give you permission to use their name in your thesis and they sign and date it.

I hope this helps. Please let me know if you have any questions.

Thank you

[REDACTED]

b6 -2

Prepublication Review Office  
Record Management Division  
170 Marcel Drive, Room M-08  
Winchester, VA 22602-4843  
(540) 868-1697

1/19/2010

BULKY

PREPUB-5934

259A-HQ-C1619046 -160



U.S. Department of Justice

Federal Bureau of Investigation

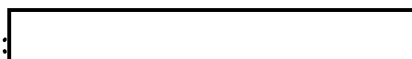
Washington, D. C. 20535-0001

February 19, 2010



b6 -2

Re: Master thesis:




b6 -2

Dear




b6 -2

This letter is in response to the January 20, 2010, submission for review, pursuant to the Federal Bureau of Investigation's (FBI) Prepublication Review Policy (PRP) (Manual of Administrative Operations and Procedures (MAOP), Part I, 1-24 and 26.1 through 26.4), of your master thesis, 

b6 -2

The manuscript was reviewed pursuant to the terms of the PRP and it was concluded that nothing contained in the submission fell within a restricted area of disclosure. Therefore, there is no objection to your making the manuscript, as presented, for publication.

You may include your current title or position as a biographical detail if it is given no more prominence than other significant biographical details, and if it is accompanied by a reasonably prominent disclaimer advising that the views expressed in the article do not necessarily represent the views of the FBI.

Should you have any questions, please contact  at 540-868-1697. Thank you for your participation in the FBI's prepublication review process.

b6 -2

Sincerely,

*mailed 2/19/10*

David M. Hardy  
Section Chief  
Record/Information  
Dissemination Section  
Records Management Division

PREPUB-5935

161



# The FBI Oral History Project

an initiative sponsored by the Society of Former Special Agents of the FBI, Inc.  
and the Former Agents of the FBI Foundation, Inc.  
3717 Fetter Park Drive, Dumfries, VA 22025  
800-527-7372 • fax 703-640-6537  
[www.socxfbi.org](http://www.socxfbi.org)

January 11, 2010

Federal Bureau of Investigation  
Prepublication Review Office  
Attn: [REDACTED]  
170 Marcel Drive, Room M-08  
Winchester, VA 22602-4843

b6 -2

Ref: Interview of Former Special Agent of the FBI Catherine M. Hartnett Kiser

Dear [REDACTED]

b6 -2

The enclosed transcription of an interview of former Special Agent Catherine M. Hartnett Kiser was conducted by former Special Agent Stanley A. Pimentel on October 10, 2009. It has been edited for repetitions and spelling. Ms. Kiser has read the transcription and made her corrections. This final transcription was completed on January 9, 2009.

The transcription includes an index and two addenda.

We request your review of the material so that it can be made available to scholars and researchers interested in this period of the Federal Bureau of Investigation.

Please call me at [REDACTED] if you have any further questions about this submission. Any correspondence should be addressed to the address below. Thank you for your assistance in completing this review.

b6 -1

Sincerely,

Brian R. Hollstein  
Manager, FBI Oral History Heritage Project

[REDACTED]

b6 -1

ENC. BEHIND FILE

PREPUB-5936

259A-HQ-1629046-162



U.S. Department of Justice

Federal Bureau of Investigation

Washington, D. C. 20535-0001

January 21, 2010

Mr. Brian Hollstein  
Oral History Project  
Society of Former Special Agents




b6 -1

Re: "The FBI Oral History Project: Interviews of Former FBI  
Special Agents Conrad V. Hassel, Yvonne T. Graham,  
Charles Bolz, Thomas J. Baker and Catherine M. Hartnett  
Kiser"

Dear Mr. Hollstein,

The Federal Bureau of Investigation (FBI) received your letters requesting  
prepublication review of interviews of former SA's Conrad V. Hassel, Yvonne T. Graham,  
Charles Bolz, Thomas J. Baker and Catherine M. Hartnett Kiser on January 21, 2010.

The FBI's prepublication review policy provides for a review period of thirty  
business days not counting the day of receipt. This review period can be extended depending  
upon the complexity of the materials being reviewed, but in most cases the reviews are  
completed within the thirty business day period. A review of your submissions is in progress and  
we will advise of the results upon completion.

Should you have any questions, please contact  at 540-868-1697.  
Thank you for your participation in the FBI's prepublication review process.

b6 -2

Sincerely,

*mailed 1/25/10*

David M. Hardy  
Section Chief  
Record/Information  
Dissemination Section  
Records Management Division

PREPUB-5937

*257A-HO-C1631045-163*



U.S. Department of Justice

Federal Bureau of Investigation

Washington, D. C. 20535-0001

February 19, 2010

  
Mr. Brian Hollstein  
Oral History Project  
Society of Former Special Agents




b6 -1

Re: "The FBI Oral History Project: Interview~~X~~ of Former FBI  
Special Agent Catherine M. Hartnett Kiser "

Dear Mr. Hollstein,

This letter is in response to the January 20, 2010, submission for review, pursuant to the Federal Bureau of Investigation's (FBI) Prepublication Review Policy (PRP) (Manual of Administrative Operations and Procedures (MAOP), Part I, 1-24 and 26.1 through 26.4), of a transcript of an interview with former SA Catherine M. Hartnett Kiser for "The Oral History Project."

The transcript was reviewed pursuant to the terms of the PRP and it was concluded that nothing contained in the manuscript fell within a restricted area of disclosure. Therefore, there is no objection to your providing the transcript, as presented, for publication.

Should you have any questions, please contact  at 540-868-1697.  
Thank you for your participation in the FBI's prepublication review process.

b6 -2

Sincerely,

*mailed 2/19/10*

David M. Hardy  
Section Chief  
Record/Information  
Dissemination Section  
Records Management Division

PREPUB-5938

2594-HQ-0110

164

PREPUBLICATION REVIEW OFFICE INPUT SHEET

OK  
no edits  
Required

Prepub #: PPR10-065

Date/Method of Receipt: Fed Ex 1/20/10

Requestor/Author Information: BRIAN HOLLSTEIN/SOFSAs

Name: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Telephone: \_\_\_\_\_

Fax: \_\_\_\_\_

Email: \_\_\_\_\_

Title/Description:

# of pages: 67

Interview of Former SA Catherine M. Hartnett Kiser

Assignment Information:

Assigned to: [redacted] Hardy

b6 -2

Difficulty:      Simple                      Medium                      Difficult

Estimated Completion Date: \_\_\_\_\_

Closed: 2/19/10      Date of Response Letter: \_\_\_\_\_

Miscellaneous: ack letter 1/25/10

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_



U.S. Department of Justice

Federal Bureau of Investigation

Washington, D. C. 20535-0001

February 19, 2010

 Jeffrey E. Cortese  


b6 -2

Re: Manuscript titled, "My Father's Father" (Revisions)


Dear Mr. Cortese,

This letter is in response to your January 25, 2010, request for review of your manuscript titled, "My Father's Father" (Revisions), pursuant to the Federal Bureau of Investigation's (FBI) Prepublication Review Policy (PRP) (Manual of Administrative Operations and Procedures, Part I, 1-24 and 26.1 through 26.4).

Thank you for submitting your revised manuscript, which was reviewed pursuant to the terms of the PRP and it was concluded that nothing contained in the submission fell within a restricted area of disclosure. Therefore, there is no objection to your providing the article, as presented, for publication.

Please see my letter of September 23, 2009, letter concerning your manuscript and the policy outlined therein.

In addition, you may include your current title or position as a biographical detail if it is given no more prominence than other significant biographical details, and if it is accompanied by a reasonably prominent disclaimer advising that the views expressed in the article do not necessarily represent the views of the FBI.

Should you have any questions, please contact  (540) 868-1697.

b6 -2

Sincerely,

*Mailed 2/19/10*

David M. Hardy  
Section Chief  
Record/Information  
Dissemination Section (RIDS)  
Records Management Division (RMD)

PREPUB-5940

151A-H 101629046-166

*Signed*

PREPUBLICATION REVIEW OFFICE INPUT SHEET

Prepub #: PPR10-069

Date/Method of Receipt: BU MAIL 1/27/10

Requestor/Author Information: Jeffrey Cortese

Name: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Telephone: \_\_\_\_\_

Fax: \_\_\_\_\_

Email: \_\_\_\_\_

Title/Description:

# of pages: 119

pilot titled "My Father's Father"

Revisions

Assignment Information:

See attached letter

Assigned to: [Redacted] Hardy

b6 -2

Difficulty:      Simple                      Medium                      Difficult

Estimated Completion Date: \_\_\_\_\_

Closed: 2/19/10

Date of Response Letter: \_\_\_\_\_

Miscellaneous: Previous submission PPR 09-176

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

UPDATED

Version Attached

b6 -2

From: Vandegrift, Serina N.  
 Sent: Wednesday, January 20, 2010 8:27 AM  
 To: [REDACTED]  
 Subject: updated draft  
 Attachments: Vandegrift FBI Jan 2010 B.doc

b6 -2

b6 -2

Attached is the latest draft of my entry for the *Encyclopedia on Biodefense*. As I mentioned a couple of weeks ago, ALL my sources are open source. For the Laboratory Division section and its associated programs, I received input from [REDACTED] For a description of the Intelligence Section embedded w/in the WMDD, I received the OK from [REDACTED] I asked the WMDD's AD Sr. Policy Advisor to review the draft and he made a couple of comments of wh/I incorporated the changes to the attached draft. The Sr. Policy Advisor is [REDACTED]

b6 -2  
b7E -7

I still need to "Blue Book" my cites wh/is the proper format for legal citations but that should not have an impact on the review of the substantive material in the draft.

The following is the by-line I drafted for the entry:

*Serina N. Vandegrift addresses Weapons of Mass Destruction policy issues at the Federal Bureau of Investigation Headquarters.* [emphasis added] Ms. Vandegrift [REDACTED]

b6 -2

[REDACTED] The views, opinions, and research herein represent the product of the author only.

[REDACTED] told me that she thought it was inappropriate that I "put yourself out there as [REDACTED] when you are not." I told her I disagreed and requested she clarify how I had done so. She stated my by-line "makes you out to be [REDACTED] and again, you are not." She told me she forwarded my by-line to an ethics person in OIC b/c I put myself out there as [REDACTED] I do not know who the ethics person is and I have not heard from anyone. I do not believe the by-line can be construed that I am holding myself out to be a [REDACTED] I am [REDACTED]

b6 -2

[REDACTED] I think stating that I "address WMDD policy issues at the Federal level" clearly sets forth my work position at the FBI. I never use the term [REDACTED] Prior to submission, I reviewed my by-line w/a few colleagues at the office to avoid issues such as this.

I am confused by the process. I thought my supervisor, [REDACTED] is supposed to review my draft for any information that may be classified. Instead, my draft is forwarded to [REDACTED] who reviews it and then tells me it is not w/in her jurisdiction to do so and does not offer feedback w/r/t the inaccuracies she verbally told me are present. She states she forwarded my draft to OGC and OIC (ethics) and that I need to wait to hear back from pre-pub after OGC has reviewed it. She also stated that the WMDD Front Office needs to approve the draft. However, your office has not reached out to any offices, that I know of. W/all due respect, this seems like a lot of approvals for an entry that is a personal product ... one that includes a disclaimer in the by-line. I searched the RMD website for guidance w/r/t the approval process. I want to follow procedure and correct/amend my material as necessary but at the same time, I do not want to delay my submission to the publisher.

b6 -2

Any guidance you can provide is greatly appreciated.

Sincerely,  
 Serina

ENC. BEHIND FILE

PREPUB-5942

1/21/2010

259A-HQ-C/029043-167



U.S. Department of Justice

Federal Bureau of Investigation

Washington, D. C. 20535-0001

February 22, 2010

Ms. Serina N. Vandegrift



b6 -2

Re: A Chapter for the Encyclopedia of Bioterrorism Defense

Dear Ms. Vandegrift,

This letter is in response to the January 4, 2010, submission for review, pursuant to the Federal Bureau of Investigation's (FBI) Prepublication Review Policy (PRP) (Manual of Administrative Operations and Procedures (MAOP), Part I, 1-24 and 26.1 through 26.4), of your chapter for the Encyclopedia of Bioterrorism Defense.

The manuscript was reviewed pursuant to the terms of the PRP and it was concluded that nothing contained in the submission fell within a restricted area of disclosure. Therefore, there is no objection to your making the manuscript, as presented, for publication.

You may include your current title or position as a biographical detail if it is given no more prominence than other significant biographical details, and if it is accompanied by a reasonably prominent disclaimer advising that the views expressed in the article do not necessarily represent the views of the FBI.

Should you have any questions, please contact [redacted] at 540-868-1697. Thank you for your participation in the FBI's prepublication review process.

b6 -2

Sincerely,

*mailed 2/25/10*

David M. Hardy  
Section Chief  
Record/Information  
Dissemination Section  
Records Management Division

PREPUB-5943

757A-HQ-CR-2043-168

Please Review  
As soon As Possible!  
THANKS

PREPUBLICATION REVIEW OFFICE INPUT SHEET

Prepub #: PPR10-048

Date/Method of Receipt: UNET 1/4/10

Requestor/Author Information: Serina Vandegrift

Name: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Telephone: \_\_\_\_\_

Fax: \_\_\_\_\_

Email: \_\_\_\_\_

Title/Description:

# of pages: 12

Chapter on FBI's Role in biodefense for Encyclopedia of  
BioTerrorism Defense

Assignment Information:

Assigned to: [ ] / Hardy

**ALL EMAILS ATTACHED**

b6 -2

Difficulty: Simple Medium Difficult

Estimated Completion Date: \_\_\_\_\_

Closed: 2/25/10

Date of Response Letter: \_\_\_\_\_

Miscellaneous: under Review by WMD - [ ]

b6 -2

Called [ ] 2/1/10 now mail

[REDACTED] b6 -2

**From:** Joyner, Charles  
**Sent:** Wednesday, February 10, 2010 7:51 AM  
**To:** [REDACTED]  
**Subject:** Request for Approval  
**Attachments:** 9TH Circuit Taser Decision.doc

b6 -2

Hello [REDACTED]

b6 -2

Attached is an article intended for publication on PoliceOne.com, submitted for review. I'm currently [REDACTED] but I wanted to submit this now as I'm not sure when I'll return [REDACTED] and the topic is timely.

Also, I sent several chapters of *Advanced Concepts in Defensive Tactics: A Survival Guide for Law Enforcement*. I wanted to make sure you had received them. I should have the remaining chapters ready for submission to you within the next couple of weeks. I have a contract with CRC Press with a deadline of May 2010. I have advised them that the Pre-Publication review and approval is required, which they understand. But if there are going to be any delays, I want to advise them.

Thank you Kay for all of your help.

Chuck

[REDACTED] **Chuck Joyner**

[REDACTED] b6 -2

2/17/2010

PREPUB-5945

259A-HQ-C 1629046 -169

b6 -2

[redacted]

---

**From:** Joyner, Charles  
**Sent:** Wednesday, February 24, 2010 1:14 PM  
**To:** [redacted]  
**Subject:** Re: Request for Approval

b6 -2

Thank you Kay.  
 Please send the letter to:

[redacted]

b6 -2

Or send to [redacted] in holey envelope to my attention.

Thank you!  
 Chuck

---

**From:** [redacted]  
**To:** Joyner, Charles  
**Sent:** Wed Feb 24 13:10:22 2010  
**Subject:** RE: Request for Approval

b6 -2

Good afternoon Mr. Joyner,

Your article title, "9th Circuit Taser Decision" has been approved. What address would you like the letter sent to?

Thank you

[redacted]  
 Prepublication Review Office  
 Record Management Division  
 170 Marcel Drive, Room O-03  
 Winchester, VA 22602-4843  
 (540) 868-1697

b6 -2

---

**From:** Joyner, Charles  
**Sent:** Thursday, February 18, 2010 11:49 AM  
**To:** [redacted]  
**Subject:** RE: Request for Approval

b6 -2

Mr. Hardy, [redacted]

b6 -2

Thank you for the reply. I hope my e-mail didn't come across as if I were impatient or unhappy with previous submissions. [redacted] has been great and I have been pleasantly surprised at how quickly the unit has been able to turn around the product.

b6 -2

I mentioned as an explanation of the one article being "timely" as I have in the past submitted requests via Outlook. Since I was uncertain how long I will be [redacted] I wanted to submit it now because I didn't want to delay my submission to you by several weeks until I returned [redacted]

b6 -2

Thank you for your work and, as I said, [redacted] and the unit have been great.

PREPUB-5946

2/25/2010

Chuck

**Chuck Joyner**

b6 -2

**From:** [REDACTED]  
**Sent:** Thursday, February 18, 2010 7:52 AM  
**To:** Joyner, Charles  
**Subject:** RE: Request for Approval

b6 -2

Dear Mr. Joyner,

The Federal Bureau of Investigation (FBI) received your submission on February 17, 2010, requesting prepublication review of your article titled, "9<sup>th</sup> Circuit Taser Decision."

The FBI's prepublication review policy provided for a review period of thirty business days not counting the day of receipt. This review period can be extended depending upon the complexity of the materials being reviewed, but in most cases the reviews are completed within the thirty business day period. A review of your submission is in progress and we will advise of the results upon completion.

Should you have any questions, please contact [REDACTED] at 540-868-1697. Thank you for your participation in the FBI's prepublication review process.

b6 -2

Sincerely,  
 David M. Hardy [REDACTED]  
 Section Chief  
 Record/Information  
 Dissemination Section  
 Records Management Division

b6 -2

**From:** Joyner, Charles  
**Sent:** Wednesday, February 10, 2010 7:51 AM  
**To:** [REDACTED]  
**Subject:** Request for Approval

b6 -2

Hello [REDACTED]

b6 -2

Attached is an article intended for publication on PoliceOne.com, submitted for review. I'm currently [REDACTED] but I wanted to submit this now as I'm not sure when I'll return [REDACTED] and the topic is timely.

Also, I sent several chapters of *Advanced Concepts in Defensive Tactics: A Survival Guide for Law Enforcement*. I wanted to make sure you had received them. I should have the remaining chapters ready for submission to you within the next couple of weeks. I have a contract with CRC Press with a deadline of May 2010. I have advised them that the Pre-Publication review and approval is required, which they understand. But if there are going to be any delays, I want to advise them.

PREPUB-5947

2/25/2010

Thank you Kay for all of your help.

Chuck

 ***Chuck Jovner***

b6 -2

2/25/2010

PREPUB-5948


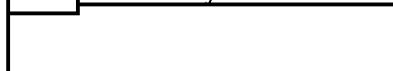


U.S. Department of Justice

Federal Bureau of Investigation

Washington, D. C. 20535-0001

February 25, 2010

 Chuck Joyner  


b6 -2


Re: Article titled, "9<sup>th</sup> Circuit Taser Decision"

Dear Mr. Joyner,

This letter is in response to the February 17, 2010, submission for review, pursuant to the Federal Bureau of Investigation's (FBI) Prepublication Review Policy (PRP) (Manual of Administrative Operations and Procedures (MAOP), Part I, 1-24 and 26.1 through 26.4), of your article titled, "9<sup>th</sup> Circuit Taser Decision."

The manuscript was reviewed pursuant to the terms of the PRP and it was concluded that nothing contained in the submission fell within a restricted area of disclosure. Therefore, there is no objection to your making the manuscript, as presented, for publication.

You may include your current title or position as a biographical detail if it is given no more prominence than other significant biographical details, and if it is accompanied by a reasonably prominent disclaimer advising that the views expressed in the article do not necessarily represent the views of the FBI.

Should you have any questions, please contact  at 540-868-1697.  
Thank you for your participation in the FBI's prepublication review process.

b6 -2

Sincerely,

*maul 2/25/10*

David M. Hardy  
Section Chief  
Record/Information  
Dissemination Section  
Records Management Division

PREPUB-5949

*251A-HQ-1514-170*

PREPUBLICATION REVIEW OFFICE INPUT SHEET

OK

Prepub #: PPR10-074

Date/Method of Receipt: UNET 2/17/10

Requestor/Author Information: [redacted] Charles Joyner

b6 -2

Name: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Telephone: \_\_\_\_\_ Fax: \_\_\_\_\_

Email: \_\_\_\_\_

Title/Description: \_\_\_\_\_ # of pages: 3

article, "9th Circuit Tasea Decision"

Assignment Information:

Assigned to: [redacted] Hardy

b6 -2

Difficulty: Simple Medium Difficult

Estimated Completion Date: \_\_\_\_\_

Closed: 2/25/10 Date of Response Letter: \_\_\_\_\_

Miscellaneous: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

[REDACTED] b6 -2

**From:** Gourlie, Bruce J.  
**Sent:** Tuesday, February 23, 2010 7:53 AM  
**To:** [REDACTED]  
**Subject:** Article for prepublication review  
**Attachments:** LE Warrior.doc

b6 -2

[REDACTED] b6 -2

Attached is an article that would be submitted to Policeone.com for on-line publishing. Please let me know if you need any additional information, or if you have any questions.

Thanks,  
Bruce

PREPUB-5951

2/23/2010

259A-HO-C 1629046-171



U.S. Department of Justice

Federal Bureau of Investigation

Washington, D. C. 20535-0001

February 25, 2010

 Bruce Gourlie  


b6 -2


Re: Article titled, "LE Warrior"

Dear Mr. Gourlie,

This letter is in response to the February 23, 2010, submission for review, pursuant to the Federal Bureau of Investigation's (FBI) Prepublication Review Policy (PRP) (Manual of Administrative Operations and Procedures (MAOP), Part I, 1-24 and 26.1 through 26.4), of your article titled, "LE Warrior."

The manuscript was reviewed pursuant to the terms of the PRP and it was concluded that nothing contained in the submission fell within a restricted area of disclosure. Therefore, there is no objection to your making the manuscript, as presented, for publication.

You may include your current title or position as a biographical detail if it is given no more prominence than other significant biographical details, and if it is accompanied by a reasonably prominent disclaimer advising that the views expressed in the article do not necessarily represent the views of the FBI.

Should you have any questions, please contact  at 540-868-1697.  
Thank you for your participation in the FBI's prepublication review process.

b6 -2

Sincerely,

*mailed 2/25/10*

David M. Hardy  
Section Chief  
Record/Information  
Dissemination Section  
Records Management Division

PREPUB-5952

*259A-HQ-C1627046-172*

OK

PREPUBLICATION REVIEW OFFICE INPUT SHEET

Prepub #: PPR10-076

Date/Method of Receipt: UNET 2/23/10

Requestor/Author Information:

[Redacted]

Bruce Gourlie

b6 -2

Name: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Telephone: \_\_\_\_\_

Fax: \_\_\_\_\_

Email: \_\_\_\_\_

Title/Description:

# of pages: 9

article for Policeone.com

Assignment Information:

Assigned to:

[Redacted]

Hardy

b6 -2

Difficulty:      Simple                      Medium                      Difficult

Estimated Completion Date: \_\_\_\_\_

Closed: 2/23/2010

Date of Response Letter: \_\_\_\_\_

Miscellaneous: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

[REDACTED] b6 -2

**From:** Gourlie, Bruce J.  
**Sent:** Wednesday, February 24, 2010 2:16 PM  
**To:** [REDACTED]  
**Subject:** RE: Article for prepublication review

b6 -2

[REDACTED]

b6 -2

Please send the letter to: Bruce Gourlie, [REDACTED] Thank you very much for your assistance and courtesy.

Bruce

---

**From:** [REDACTED]  
**Sent:** Wednesday, February 24, 2010 1:06 PM  
**To:** Gourlie, Bruce J.  
**Subject:** RE: Article for prepublication review

b6 -2

Hello Mr. Gourlie,

Your article has been approved. What address would you like the letter sent to?

Thank you

[REDACTED]

b6 -2

Prepublication Review Office  
Record Management Division  
170 Marcel Drive, Room O-03  
Winchester, VA 22602-4843  
(540) 868-1697

---

**From:** Gourlie, Bruce J.  
**Sent:** Tuesday, February 23, 2010 7:53 AM  
**To:** [REDACTED]  
**Subject:** Article for prepublication review

b6 -2

[REDACTED]

Attached is an article that would be submitted to Policeone.com for on-line publishing. Please let me know if you need any additional information, or if you have any questions.

Thanks,  
Bruce

2/25/2010

PREPUB-5954

[REDACTED] b6 -2

**From:**

[REDACTED] b6 -3

**Sent:** Monday, January 25, 2010 1:56 PM

**To:**

[REDACTED] b6 -2

**Subject:** Pre-Publication Review

**Attachments:**

[REDACTED] b6 -3

[REDACTED] b6 -2

We spoke on the phone earlier today. I am a former FBI employee now working at [REDACTED] and would like to submit the attached two articles for pre-publication review. I will also be submitting them for pre-publication review [REDACTED]

[REDACTED] b6 -3

Please let me know if you have any questions. Thanks for your help!

V/r,

[REDACTED] b6 -3

1/26/2010

PREPUB-5955

257A HQ - C 1679046 - 173



U.S. Department of Justice

Federal Bureau of Investigation

Washington, D. C. 20535-0001

February 25, 2010



b6 -3

Re: Two articles titled, [redacted]

b6 -3

Dear [redacted]

b6 -3

This letter is in response to the January 26, 2010, submission for review, pursuant to the Federal Bureau of Investigation's (FBI) Prepublication Review Policy (PRP) (Manual of Administrative Operations and Procedures (MAOP) Part I, 1-24 and 26.1 through 26.4), of your two articles titled, [redacted]

b6 -3

The manuscript was reviewed pursuant to the terms of the PRP and it was concluded that nothing contained in the submission fell within a restricted area of disclosure. Therefore, there is no objection to your making the manuscript, as presented, for publication.

You may include your former title or position as a biographical detail if it is given no more prominence than other significant biographical details, and if it is accompanied by a reasonably prominent disclaimer advising that the views expressed in the article do not necessarily represent the views of the FBI.

Should you have any questions, please contact [redacted] at 540-868-1697. Thank you for your participation in the FBI's prepublication review process.

b6 -2

Sincerely,

*mailed 2/25/10*

David M. Hardy  
Section Chief  
Record/Information  
Dissemination Section  
Records Management Division

PREPUB-5956

*25 FEB 2010 174*

01

PREPUBLICATION REVIEW OFFICE INPUT SHEET

Prepub #: PPR10-068

Date/Method of Receipt: UNET 1/26/10

Requestor/Author Information:

b6 -3

Name: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Telephone: \_\_\_\_\_ Fax: \_\_\_\_\_

Email: \_\_\_\_\_

Title/Description: \_\_\_\_\_ # of pages: 40

2 articles titled,

b6 -3

Assignment Information:

Assigned to:  Hardy

b6 -2

Difficulty:      Simple                      Medium                      Difficult

Estimated Completion Date: \_\_\_\_\_

Closed: 2/25/10      Date of Response Letter: \_\_\_\_\_

Miscellaneous: \_\_\_\_\_

waiting on address closed 2/25/10

[REDACTED] b6 -2

**From:** [REDACTED]  
**Sent:** Thursday, February 25, 2010 8:08 AM  
**To:** [REDACTED]  
**Subject:** RE: Pre-Publication Review

b6 -3

b6 -2

[REDACTED] b6 -2

Thanks so much. You can send the letter to:

[REDACTED] b6 -3

V/r,

[REDACTED] b6 -3

**From:** [REDACTED]  
**Sent:** Thursday, February 18, 2010 9:21 AM  
**To:** [REDACTED]  
**Subject:** RE: Pre-Publication Review

b6 -2

b6 -3

Hello [REDACTED]

b6 -3

Sorry for the delay. Your articles have been approved. What address would you like me to send your approval letter to?

Thank you

[REDACTED]  
Prepublication Review Office  
Record Management Division  
170 Marcel Drive, Room O-03  
Winchester, VA 22602-4843  
(540) 868-1697

b6 -2

**From:** [REDACTED]  
**Sent:** Monday, January 25, 2010 1:56 PM  
**To:** [REDACTED]  
**Subject:** Pre-Publication Review

b6 -3

b6 -2

[REDACTED] b6 -3

We spoke on the phone earlier today. I am a former FBI employee now working at [REDACTED] and would like to submit the attached two articles for pre-publication review. I will also be submitting them for pre-publication review at [REDACTED]

b6 -3

Please let me know if you have any questions. Thanks for your help!

V/r,

[REDACTED] b6 -3



U.S. Department of Justice

Federal Bureau of Investigation

Washington, D. C. 20535-0001

March 3, 2010

Central Intelligence Agency  
Publication Review Board  
Attention: [REDACTED]  
1 H 11 IP Building  
Washington, DC 20505

b6 -3

Re: Catherine Lotrionte's dissertation titled, "The Missing  
Piece: Why Intelligence Reform Failed After 9/11"

Dear [REDACTED]

b6 -3

This letter is in response to your December 30, 2009, request for review of a dissertation written by Catherine Lotrionte titled, "The Missing Piece: Why Intelligence Reform Failed After 9/11", pursuant to the Federal Bureau of Investigation's (FBI) Prepublication Review Policy (PRP) (Manual of Administrative Operations and Procedures, Part I, 1-24 and 26.1 through 26.4).

The manuscripts were reviewed pursuant to the terms of the PRP and it was concluded that no classified FBI information was contained in the submissions. Therefore, there is no objection to Ms. Lotrionte providing the manuscripts, as presented, for publication.

Should you have any questions, please contact [REDACTED] at 540-868-1697.  
Thank you for your participation in the FBI's prepublication review process.

b6 -2

Sincerely,

*Faxed 3/3/10*

David M. Hardy  
Section Chief  
Record/Information  
Dissemination Section  
Records Management Division

*BUKES*

PREPUB-5959

*259A-HQ-2162941-175*

[redacted] (RMD)(FBI)

b6 -2

To: [redacted]  
Subject: RE: Pre-pub review for [redacted]

b6 -2,-3

Jennifer - I will get it to [redacted] (today is her RDO) and then have her contact you to confirm approval/objections with you.

b6 -2

-----Original Message-----

From: [redacted]  
Sent: Thursday, February 25, 2010 12:35 PM  
To: [redacted] (RMD)(FBI)  
Subject: Pre-pub review for [redacted]

b6 -2,-3

CLASSIFICATION: UNCLASSIFIED//FOUO  
=====

Hi [redacted]

Please provide the attached document to [redacted] for pre-pub review.  
Thanks,  
Jennifer

Jennifer Hudson  
DNI Information Management Office  
Chief, Information Review and Release Branch

[redacted]

b6 -2

[redacted]  
*- another one  
for you*

b6 -3

2/26/2010

PREPUB-5960

259A-HQ-C1629046-176



U.S. Department of Justice

Federal Bureau of Investigation

Washington, D. C. 20535-0001

March 3, 2010

Department of State  
Attention: Jennifer Hudson  
Chief, Information Review Branch  
ODNI

Re: Galileo Awards Program Submission for FBI employee  
[redacted]

b6 -2

Dear Ms. Hudson,

This letter is in response to the March 1, 2010, submission for review, pursuant to the Federal Bureau of Investigation's (FBI) Prepublication Review Policy (PRP) (Manual of Administrative Operations and Procedures (MAOP), Part I, 1-24 and 26.1 through 26.4), of a manuscript submitted by FBI employee [redacted] for the Galileo program.

b6 -2

The submission was reviewed pursuant to the terms of the PRP and it was concluded that the manuscript did not contain any classified information. Therefore, the FBI has no objection to releasing our information in the manuscript, as presented, for publication.

Should you have any questions, please contact [redacted] at 540-868-1697.  
Thank you for your participation in the FBI's prepublication review process.

b6 -2

Sincerely,

*Faxed 3/3/10*

David M. Hardy  
Section Chief  
Record/Information  
Dissemination Section  
Records Management Division

PREPUB-5961

- 162901 - 177



U.S. Department of Justice

Federal Bureau of Investigation

Washington, D. C. 20535-0001

March 3, 2010

Department of State  
Attention: Jennifer Hudson  
Chief, Information Review Branch  
ODNI

Re: Galileo Awards Program Submission for FBI employee

[REDACTED]

b6 -2

Dear Ms. Hudson,

This letter is in response to the March 1, 2010, submission for review, pursuant to the Federal Bureau of Investigation's (FBI) Prepublication Review Policy (PRP) (Manual of Administrative Operations and Procedures (MAOP), Part I, 1-24 and 26.1 through 26.4), of a manuscript submitted by FBI employee [REDACTED] for the Galileo program.

b6 -2

The submission was reviewed pursuant to the terms of the PRP and it was concluded that the manuscript did not contain any classified information. Therefore, the FBI has no objection to releasing our information in the manuscript, as presented, for publication.

Should you have any questions, please contact [REDACTED] at 540-868-1697.  
Thank you for your participation in the FBI's prepublication review process.

b6 -2

Sincerely,

David M. Hardy  
Section Chief  
Record/Information  
Dissemination Section  
Records Management Division

*Faxed To Jennifer Hudson 3/10/10*

PREPUB-5962



## FBI FACSIMILE

## COVER SHEET

## PRECEDENCE

- ☐ Immediate  
☐ Priority  
☒ Routine

## CLASSIFICATION

- ☐ Top Secret  
☐ Secret  
☐ Confidential  
☐ Sensitive  
☒ Unclassified

Time Transmitted: 10:17 A

Sender's Initials: [REDACTED]

Number of Pages: 2  
(including cover sheet)

b6 -2

To: ODNI - Information Review Branch  
Name of Office

Date: 3/3/10

Facsimile Number: 703 275-1299

Attn: Jennifer Hudson  
Name

Room

Telephone

b6 -3

From: FBI Prepublication Review Office  
Name of OfficeSubject: Approval letter for  
Galileo Submission

Special Handling Instructions:

Originator's Name: [REDACTED]

Telephone: 540 868-1697

b6 -2

Originator's Facsimile Number: 540 868-4996

Approved: \_\_\_\_\_

Brief Description of Communication Faxed: \_\_\_\_\_

## WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.U.S.C. § 641). Please notify the

\*\*\*\*\* UF-8000 V2 \*\*\*\*\* -540-868-4996 \*\*\*\*\*

- FBI ICRC WINCHESTER VA

002/002 00:00:48

917032751299

OK

001

DURATION

PAGES

STATION NAME/EMAIL ADDRESS/TELEPHONE NO.:

COMM.

STN NO.

FILE NO.=637

END=MAR-03 10:11

START=MAR-03 10:10

MODE = MEMORY TRANSMISSION

\*\*\*\*\* -COMM. JOURNAL- \*\*\*\*\* DATE MAR-03-2010 \*\*\*\*\* TIME 10:11 \*\*\*\*\*

PREPUB-5963



# FBI FACSIMILE COVER SHEET

## PRECEDENCE

- ☐ Immediate  
☐ Priority  
☒ Routine

## CLASSIFICATION

- ☐ Top Secret  
☐ Secret  
☐ Confidential  
☐ Sensitive  
☒ Unclassified

Time Transmitted: 10:17ASender's Initials:  b6 -2Number of Pages: 2  
(including cover sheet)To: ODNI - Information Review Branch  
Name of OfficeDate: 3/3/10Facsimile Number: 703-275-1299Attn: Jennifer Hudson   
Name Room Telephone

b6 -3

From: FBI Prepublication Review Office  
Name of OfficeSubject: Approval letter for  
Galileo Submission

Special Handling Instructions: \_\_\_\_\_

Originator's Name: Telephone: 540-868-1697

b6 -2

Originator's Facsimile Number: 540-868-4996

Approved: \_\_\_\_\_

Brief Description of Communication Faxed: \_\_\_\_\_

## WARNING

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PREPUB-5964

PREPUBLICATION REVIEW OFFICE INPUT SHEET

OK

Prepub #: PPR10-079

Date/Method of Receipt: SCION 3/1/10

Requestor/Author Information: JENNIFER HUDSON / ODNI

Name: \_\_\_\_\_

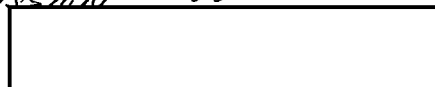
Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Telephone: \_\_\_\_\_ Fax: \_\_\_\_\_

Email: \_\_\_\_\_

Title/Description: \_\_\_\_\_ # of pages: 10

Galileo Awards Program Submission PS



Assignment Information:

b6 -2

Assigned to: [Redacted] Hardy

Difficulty: Simple Medium Difficult

Estimated Completion Date: \_\_\_\_\_

Closed: 3/3/10 Date of Response Letter: \_\_\_\_\_

Miscellaneous: Forwarded To Jennifer Hudson 3/3/10

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_



# The FBI Oral History Project

an initiative sponsored by the Society of Former Special Agents of the FBI, Inc.  
and the Former Agents of the FBI Foundation, Inc.

3717 Fetter Park Drive, Dumfries, VA 22025

800-527-7372 • fax 703-640-6537

[www.socxfbi.org](http://www.socxfbi.org)

February 5, 2010

Federal Bureau of Investigation

Prepublication Review Office

Attn: [REDACTED]

170 Marcel Drive, Room M-08

Winchester, VA 22602-4843

b6 -2

Ref: Interview of Former Special Agent of the FBI Daniel A. Grove

Dear [REDACTED]

b6 -2

The enclosed transcription of an interview of former Special Agent Daniel A. Grove was conducted by former Special Agent Stanley A. Pimentel on November 12, 2009. It has been edited for repetitions and spelling. Mr. Grove has read the transcription and made his corrections. This final transcription was completed on February 5, 2010.

The transcription includes an index.

We request your review of the material so that it can be made available to scholars and researchers interested in this period of the Federal Bureau of Investigation.

Please call me at [REDACTED] if you have any further questions about this submission. Any correspondence should be addressed to the address below. Thank you for your assistance in completing this review.

b6 -1

Sincerely,

Brian R. Hollstein

Manager, FBI Oral History Heritage Project

[REDACTED]

b6 -1

PREPUB-5966

259A-HQ-C 1024-178



U.S. Department of Justice

Federal Bureau of Investigation

Washington, D. C. 20535-0001

March 1, 2010

Mr. Brian Hollstein  
Oral History Project  
Society of Former Special Agents




b6 -1

Re: "The FBI Oral History Project: Interviews of Former FBI  
Special Agents Beverly S. Wright and Daniel A. Grove"

Dear Mr. Hollstein,

The Federal Bureau of Investigation (FBI) received your letters requesting  
prepublication review of interviews of former SA's Beverly S. Wright and Daniel A. Grove on  
March 1, 2010.

The FBI's prepublication review policy provides for a review period of thirty  
business days not counting the day of receipt. This review period can be extended depending  
upon the complexity of the materials being reviewed, but in most cases the reviews are  
completed within the thirty business day period. A review of your submissions is in progress and  
we will advise of the results upon completion.

Should you have any questions, please contact  at 540-868-1697.  
Thank you for your participation in the FBI's prepublication review process.

b6 -2

Sincerely,

David M. Hardy  
Section Chief  
Record/Information  
Dissemination Section  
Records Management Division

PREPUB-5967

259A-10-C1629045-179



U.S. Department of Justice

Federal Bureau of Investigation

Washington, D. C. 20535-0001

March 3, 2010

Mr. Brian Hollstein  
Oral History Project  
Society of Former Special Agents



b6 -1

Re: "The FBI Oral History Project" Interview of Former FBI  
Special Agent Daniel A. Grove

Dear Mr. Hollstein,

This letter is in response to the February 5, 2010, submission for review, pursuant to the Federal Bureau of Investigation's (FBI) Prepublication Review Policy (PRP) (Manual of Administrative Operations and Procedures (MAOP), Part I, 1-24 and 26.1 through 26.4), of a transcript of an interview with former SA Daniel A. Grove for "The Oral History Project."

The transcript was reviewed pursuant to the terms of the PRP and it was concluded that nothing contained in the manuscript fell within a restricted area of disclosure. Therefore, there is no objection to your providing the transcript, as presented, for publication.

Should you have any questions, please contact [redacted] at 540-868-1697.  
Thank you for your participation in the FBI's prepublication review process.

b6 -2

Sincerely,

*mailed 3/4/10*

David M. Hardy  
Section Chief  
Record/Information  
Dissemination Section  
Records Management Division

PREPUB-5968

2574 - 100-41-180

PREPUBLICATION REVIEW OFFICE INPUT SHEET

OK  
very  
interesting

Prepub #: PPR10-081

Date/Method of Receipt: Fed Ex 3/1/10

Requestor/Author Information: BRIAN HollSTEIN / SOFSA's

Name: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Telephone: \_\_\_\_\_

Fax: \_\_\_\_\_

Email: \_\_\_\_\_

Title/Description:

# of pages: 53

Interview of Former SA Daniel A. Grove

Assignment Information:

Assigned to: [redacted] Hardy

b6 -2

Difficulty: Simple Medium Difficult

Estimated Completion Date: \_\_\_\_\_

Closed: 3/4/10 Date of Response Letter: \_\_\_\_\_

Miscellaneous: ack letter 3/1/10



# The FBI Oral History Project

an initiative sponsored by the Society of Former Special Agents of the FBI, Inc.  
and the Former Agents of the FBI Foundation, Inc.

3717 Fetter Park Drive, Dumfries, VA 22025

800-527-7372 • fax 703-640-6537

[www.socxfbi.org](http://www.socxfbi.org)

January 24, 2010

Federal Bureau of Investigation

Prepublication Review Office

Attn: [REDACTED]

170 Marcel Drive, Room M-08

Winchester, VA 22602-4843

b6 -2

Ref: Interview of Former Special Agent of the FBI Beverly S. Wright

Dear [REDACTED]

b6 -2

The enclosed transcription of an interview of former Special Agent Beverly S. Wright was conducted by former Special Agent Susan Wynkoop on August 11, 2009. It has been edited for repetitions and spelling. Ms. Wright has read the transcription and made his corrections. This final transcription was completed on January 25, 2010.

This transcription includes an index.

We request your review of the material so that it can be made available to scholars and researchers interested in this period of the Federal Bureau of Investigation.

Please call me at [REDACTED] if you have any further questions about this submission. Any correspondence should be addressed to the address below. Thank you for your assistance in completing this review.

b6 -1

Sincerely,

Brian R. Hollstein

Manager, FBI Oral History Heritage Project

[REDACTED]

b6 -1

PREPUB-5970

259A-HQ-C 152 9046-181



U.S. Department of Justice

Federal Bureau of Investigation

Washington, D. C. 20535-0001

March 1, 2010

Mr. Brian Hollstein  
Oral History Project  
Society of Former Special Agents




b6 -1

Re: "The FBI Oral History Project: Interviews of Former FBI  
Special Agents Beverly S. Wright and Daniel A. Grove"

Dear Mr. Hollstein,

The Federal Bureau of Investigation (FBI) received your letters requesting  
prepublication review of interviews of former SA's Beverly S. Wright and Daniel A. Grove on  
March 1, 2010.

The FBI's prepublication review policy provides for a review period of thirty  
business days not counting the day of receipt. This review period can be extended depending  
upon the complexity of the materials being reviewed, but in most cases the reviews are  
completed within the thirty business day period. A review of your submissions is in progress and  
we will advise of the results upon completion.

Should you have any questions, please contact  at 540-868-1697.  
Thank you for your participation in the FBI's prepublication review process.

b6 -2

Sincerely,

David M. Hardy  
Section Chief  
Record/Information  
Dissemination Section  
Records Management Division

PREPUB-5971

2570 HQ-1677046-182



U.S. Department of Justice

Federal Bureau of Investigation

Washington, D. C. 20535-0001

March 3, 2010

Mr. Brian Hollstein  
Oral History Project  
Society of Former Special Agents



b6 -1

Re: "The FBI Oral History Project" Interview of Former FBI  
Special Agent Beverly S. Wright

Dear Mr. Hollstein,

This letter is in response to the March 1, 2010, submission for review, pursuant to the Federal Bureau of Investigation's (FBI) Prepublication Review Policy (PRP) (Manual of Administrative Operations and Procedures (MAOP), Part I, 1-24 and 26.1 through 26.4), of a transcript of an interview with former SA Beverly S. Wright for "The Oral History Project."

The transcript was reviewed pursuant to the terms of the PRP and it was concluded that nothing contained in the manuscript fell within a restricted area of disclosure. Therefore, there is no objection to your providing the transcript, as presented, for publication.

Should you have any questions, please contact [redacted] at 540-868-1697.  
Thank you for your participation in the FBI's prepublication review process.

b6 -2

Sincerely,

*mailed 3/5/10*

David M. Hardy  
Section Chief  
Record/Information  
Dissemination Section  
Records Management Division

PREPUB-5972

*259A-HQ-3162104-183*

OK

PREPUBLICATION REVIEW OFFICE INPUT SHEET

Prepub #: PPR10-080

Date/Method of Receipt: Fed Ex 3/1/10

Requestor/Author Information: BRIAN HOLLSTEIN / SOFSA's

Name: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Telephone: \_\_\_\_\_

Fax: \_\_\_\_\_

Email: \_\_\_\_\_

Title/Description: \_\_\_\_\_

# of pages: 41

INTERVIEW of Former SA Beverly S. Wright

Assignment Information:

Assigned to:   / Hardy

b6 -2

Difficulty:      Simple                      Medium                      Difficult

Estimated Completion Date: \_\_\_\_\_

Closed: 3/5/10      Date of Response Letter: \_\_\_\_\_

Miscellaneous: ack letter 3/1/10

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

2/23/2010

[redacted]

b6 -2

Prepublication Review Office  
Records Management Division  
170 Marcel Drive, Room O-03  
Winchester, VA 22602-4843

[redacted]

b6 -2

Thank you for doing the pre-publication review of this presentation. As mentioned in the attached email, the presentation is to a group of accountants at the South Bend, Indiana, branch of the Crowe Horvath accounting firm. It will be on my own time and I will receive no benefit, not even a meal. The presentation will not be advertised publicly and attendance will be limited to accountants at the firm.

I have presented on Enron several times and the material is always the same. As an example of the presentation, I have attached a 40 page PowerPoint presentation that [redacted] and I have used in the past. The information is all public source and the hyperlinks are to scenes from either the movie "Fun with Dick and Jane" or "The Enron Movie". I do not intend to use any of the hyperlinks in this presentation.

b6 -2

I expect some in depth discussion concerning the "Raptor" structured finance accounting scheme and the "Global Galactic" agreement. Both were described in detail during the testimony in the Ken Lay/Jeff Skilling trial. They have also been written about extensively in the books "Smartest Guys in the Room" and "Conspiracy of Fools".

I am sure I omitted details you need so, if you have any questions, please give me a call at [redacted] or contact me via email.

b6 -2

Thanks for reviewing this.

Chris Favo,

[redacted]

b6 -2

259A-HQ-C1629046-184  
BV Aki 3/2/10  
PREPUB-5974

FAVO, CHRISTOPHER M. [redacted] (FBI)

b6 -2

From: FAVO, CHRISTOPHER M. [redacted] (FBI)  
Sent: Monday, February 22, 2010 4:43 PM  
To: [redacted] (FBI)  
Subject: Pre publication review

b6 -2

UNCLASSIFIED  
NON-RECORD

[redacted]

b6 -2

I have attached an email I sent to [redacted] concerning a request to speak before a group of accountants. [redacted] said the principal concern was a pre-publication review issue since this is a private, not educational group. In addition to fielding questions, my talk would likely follow a powerpoint presentation on Enron that I have given at the Purdue University, the University of Notre Dame, and the FBI Academy. [redacted] suggested I send a copy of the powerpoint to you for review. The information in the powerpoint is all public information. The photos and images were obtained from the internet and the documents from the DOJ website containing all the evidence from the trials.

How would you like me to send the powerpoint information to you.

Thanks,

Chris Favo

[redacted] Christopher M. Favo

b6 -2

~~NOTE: This message may contain information that is attorney work product and/or attorney-client privileged material. Dissemination of this email, or the information it contains, should be limited to official U.S. Government purposes and with all care due its sensitivity and classification.~~

From: FAVO, CHRISTOPHER M. [redacted] (FBI)  
Sent: Thursday, February 18, 2010 5:41 PM  
To: [redacted] (FBI)  
Subject: Ethics question

b6 -2

UNCLASSIFIED  
NON-RECORD

[redacted]

b6 -2

[redacted] called and made a request.

b6 -1

He is [redacted] in the local office of a smaller national accounting firm, Crowe Horwath. [redacted]

[redacted] try to have a monthly meeting (usually about 10-15 show up) and they try to have speakers or discuss accounting issues of the day. He is in charge of finding a speaker. They don't have the meetings during tax season so he is looking for a speaker for sometime after April 15.

[redacted] heard me speak at Purdue last year about Enron and he wondered whether I would be willing to speak to them about Enron and the book "Smartest Guys in the Room" which focuses on Andy Fastow and his Enron related deals. This is not something that they charge admission to or even advertise. It is just sitting around a room fielding questions from some accountants. There is no speakers fee but they typically furnish lunch. Their speakers are usually other

b6 -1

accountants or college professors.

b6 -1, -2

I would like to help him out if I can. [REDACTED]  
[REDACTED]

My question is whether I can do this and under what circumstances? Can I do this if they don't buy me lunch? Can I do this if I do it on my own time and they don't buy me lunch?

Thanks,

Chris

[REDACTED] Christopher M. Favo  
[REDACTED]

b6 -2

~~NOTE: This message may contain information that is attorney work product and/or attorney-client privileged material. Dissemination of this email, or the information it contains, should be limited to official U.S. Government purposes and with all care due its sensitivity and classification.~~

UNCLASSIFIED

UNCLASSIFIED





U.S. Department of Justice

Federal Bureau of Investigation

Washington, D. C. 20535-0001

March 3, 2010

 Christopher Favo  


b6 -2


Re: PowerPoint presentation titled "Purdue University Leadership & Ethics Series: The Enron Investigation" given to Crowe Horvath Accounting Firm

Dear Mr. Favo,

This letter is in response to your March 2, 2010, request for review of a PowerPoint presentation titled, "Purdue University Leadership & Ethics Series: The Enron Investigation," given to Crowe Horvath Accounting Firm, pursuant to the Federal Bureau of Investigation's (FBI) Prepublication Review Policy (PRP) (Manual of Administrative Operations and Procedures, Part I, 1-24 and 26.1 through 26.4).

The PowerPoint presentation was reviewed pursuant to the terms of the PRP and it was concluded that nothing contained in the manuscript fell within a restricted area of disclosure. Therefore, there is no objection to your providing the remarks, as presented, for publication.

In addition, you may include your title or position as a biographical detail if it is given no more prominence than other significant biographical details, and if it is accompanied by a reasonably prominent disclaimer advising that the views expressed in the article do not necessarily represent the views of the FBI.

Should you have any questions, please contact  at 540-868-1697.  
Thank you for your participation in the FBI's prepublication review process.

b6 -2

Sincerely,

*mailed 3/5/10*

David M. Hardy  
Section Chief  
Record/Information  
Dissemination Section (RIDS)  
Records Management Division (RMD)

PREPUB-5977

259A-117-1529046-185

PREPUBLICATION REVIEW OFFICE INPUT SHEET

Prepub #: PPR10-082

Date/Method of Receipt: BU MAIL 3/2/10

Requestor/Author Information: CHRIS Favo

Name: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Telephone: \_\_\_\_\_

Fax: \_\_\_\_\_

Email: \_\_\_\_\_

Title/Description: \_\_\_\_\_

# of pages: 40

*Powerpoint Presentation to a branch of Crowe  
Horvath accounting firm*

Assignment Information:

Assigned to: \_\_\_\_\_

Difficulty:

Simple

Medium

Difficult

Estimated Completion Date: \_\_\_\_\_

Closed: 3/5/10

Date of Response Letter: \_\_\_\_\_

Miscellaneous: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

[REDACTED] (RMD) (FBI)

b6 -2

From: [REDACTED] (FBI)  
Sent: Tuesday, February 23, 2010 9:46 AM  
To: FAVO, CHRISTOPHER M. [REDACTED] (FBI)  
Cc: [REDACTED] (RMD) (FBI)  
Subject: RE: Pre publication review

b6 -2

Good morning Chris. Unfortunately, I am not longer the manager of the Prepublication Review Office. I have cc'd the current Prepub manager, [REDACTED] in my reply to you.

Have a great day.

b6 -2

[REDACTED]

[REDACTED]

b7E -4

From: FAVO, CHRISTOPHER M. [REDACTED] (FBI)  
Sent: Monday, February 22, 2010 4:43 PM  
To: [REDACTED] (FBI)  
Subject: Pre publication review

b6 -2

UNCLASSIFIED  
NON-RECORD

[REDACTED]

b6 -2

I have attached an email I sent to [REDACTED] concerning a request to speak before a group of accountants. [REDACTED] said the principal concern was a pre-publication review issue since this is a private, not educational group. In addition to fielding questions, my talk would likely follow a powerpoint presentation on Enron that I have given at the Purdue University, the University of Notre Dame, and the FBI Academy. [REDACTED] suggested I send a copy of the powerpoint to you for review. The information in the powerpoint is all public information. The photos and images were obtained from the internet and the documents from the DOJ website containing all the evidence from the trials.

How would you like me to send the powerpoint information to you.

Thanks,

Chris Favo

[REDACTED] Christopher M. Favo

b6 -2

[REDACTED]

NOTE: This message may contain information that is attorney work product and/or attorney-client privileged material. Dissemination of this email, or the information it contains, should be limited to official U.S. Government purposes and with all care due its sensitivity and classification.

From: FAVO, CHRISTOPHER M. [REDACTED] (FBI)

b6 -2

Sent:  
To:  
Subject:

Thursday, February 18, 2010 5:41 PM

[redacted] (FBI)  
Ethics question

b6 -2

UNCLASSIFIED  
NON-RECORD

[redacted]

b6 -2

[redacted] called and made a request.

b6 -1

He is [redacted] in the local office of a smaller national accounting firm, Crowe Horwath. [redacted]

[redacted] try to have a monthly meeting (usually about 10-15 show up) and they try to have speakers or discuss accounting issues of the day. He is in charge of finding a speaker. They don't have the meetings during tax season so he is looking for a speaker for sometime after April 15.

[redacted] heard me speak at Purdue last year about Enron and he wondered whether I would be willing to speak to them about Enron and the book "Smartest Guys in the Room" which focuses on Andy Fastow and his Enron related deals. This is not something that they charge admission to or even advertise. It is just sitting around a room fielding questions from some accountants. There is no speakers fee but they typically furnish lunch. Their speakers are usually other accountants or college professors.

b6 -1

b6 -1,-2

I would like to help him out if I can. [redacted]

My question is whether I can do this and under what circumstances? Can I do this if they don't buy me lunch? Can I do this if I do it on my own time and they don't buy me lunch?

Thanks,

Chris

[redacted] Christopher M. Favo

b6 -2

~~NOTE: This message may contain information that is attorney work product and/or attorney-client privileged material. Dissemination of this email, or the information it contains, should be limited to official U.S. Government purposes and with all care due its sensitivity and classification.~~

UNCLASSIFIED

UNCLASSIFIED

[REDACTED] b6 -2

From:

Sent:

Tuesday, March 02, 2010 2:50 PM

b6 -2

To:

Cc:

Subject:

Attachments:

Hi [REDACTED]

b6 -2

Sorry I took so long to get these names back to you. I got permissions from several of the employees, however, I decided it may be easier just to come up with names for every character...so attached is the story (I've made some corrections to it from the original version), and I've replaced the names with pseudonyms. Also, I have attached at the very end a chart of who has been given what name. Thank you again. [REDACTED]

b6 -2

ENC. BEHIND FILE

3/3/2010

PREPUB-5981

257A-HD-C1629046-186



U.S. Department of Justice

Federal Bureau of Investigation

Washington, D. C. 20535-0001

March 4, 2010



b6 -2

Re: Manuscript titled, [REDACTED]

b6 -2

Dear [REDACTED]

This letter is in response to the February 1, 2010, submission for review, pursuant to the Federal Bureau of Investigation's (FBI) Prepublication Review Policy (PRP) (Manual of Administrative Operations and Procedures (MAOP), Part I, 1-24 and 26.1 through 26.4), of your manuscript titled, [REDACTED]

b6 -2

The 2<sup>nd</sup> version of your manuscript using pseudonym names, was reviewed pursuant to the terms of the PRP and it was concluded that nothing contained in the submission fell within a restricted area of disclosure. Therefore, there is no objection to your making the manuscript, as presented, for publication.

You may include your current title or position as a biographical detail if it is given no more prominence than other significant biographical details, and if it is accompanied by a reasonably prominent disclaimer advising that the views expressed in the article do not necessarily represent the views of the FBI.

Should you have any questions, please contact [REDACTED] at 540-868-1697.  
Thank you for your participation in the FBI's prepublication review process.

b6 -2

Sincerely,

*mailed 3/8/10*

David M. Hardy  
Section Chief  
Record/Information  
Dissemination Section  
Records Management Division

PREPUB-5982

239A-HQ C 9040-187

PREPUBLICATION REVIEW OFFICE INPUT SHEET

Prepub #: PPR10-070

Date/Method of Receipt: UNET 2/1/10

Requestor/Author Information:

Name:

Address:

Telephone:

Fax:

Email:

Title/Description:

# of pages:

44

MANUSCRIPT,

b6 -2

Assignment Information:

Assigned to:

Hardy

b6 -2

Difficulty:

Simple

Medium

Difficult

Estimated Completion Date:

Closed: 3/8/10

Date of Response Letter:

Miscellaneous:

2/18/10 Sent email for use of NAMES

3/3/10 2nd Version submitted for review.

Make sure those  
aren't real names  
or we have  
persecution

OS  
if the  
names  
are  
changed.

b6 -1,-2

[REDACTED] (RMD) (FBI)

b6 -2

From: [REDACTED]  
Sent: Friday, February 05, 2010 8:51 AM  
To: [REDACTED] (RMD) (FBI)  
Subject: National Security Investigations and Prosecutions, Chapter 2.pdf

b6 -3

b6 -2

Attachments: National Security Investigations and Prosecutions, Chapter 2.pdf



National Security  
Investigatio...

Hi [REDACTED] Attached is chapter 2 of the Kris/Wilson manuscript for your review. I'll be sending chapter 19 momentarily. Please let me know if you have any questions or would like to discuss.

b6 -2

Thank you very much,

b6 -3

ENC. BEHIND FILE

259A-HQ-C1629046-188

Bu - Email

NEW 2/17/10




U.S. Department of Justice

Federal Bureau of Investigation

Washington, D. C. 20535-0001

March 4, 2010

  
National Security Division  
Office of Law and Policy  
950 Pennsylvania Avenue, N.W.,  
Suite 6150  
Washington, D.C. 20530

b6 -3


Re: Chapters 2 and 19 of the manuscript titled, "National Security Investigations and Prosecutions," by Kris/Wilson

Dear 

b6 -3

This letter is in response to the February 17, 2010, submission for review, pursuant to the Federal Bureau of Investigation's (FBI) Prepublication Review Policy (PRP) (Manual of Administrative Operations and Procedures (MAOP), Part I, 1-24 and 26.1 through 26.4), of Chapters 2 and 19 of a manuscript titled, "National Security Investigations and Prosecutions," by Kris/Wilson.

The article was reviewed pursuant to the terms of the PRP and it was concluded that the submission does not contain any classified FBI information that would fall within a restricted area of disclosure. Therefore, there is no objection to Kris/Wilson providing the article, as presented, for publication.

Should you have any questions, please contact  at 540-868-1697.  
Thank you for your participation in the FBI's prepublication review process.

b6 -2

Sincerely,

*mailed 3/8/10*

David M. Hardy  
Section Chief  
Record/Information  
Dissemination Section  
Records Management Division

PREPUB-5985

*257A-HQ-CI-29046-189*

(OK)

PREPUBLICATION REVIEW OFFICE INPUT SHEET

Prepub #: PPR10-092

Date/Method of Receipt: 2/17/10 BU Email

Requestor/Author Information:

/DOJ

b6 -3

Name: \_\_\_\_\_

Address: \_\_\_\_\_

Telephone: \_\_\_\_\_

Fax: \_\_\_\_\_

Email: \_\_\_\_\_

Title/Description:

# of pages: 96

Referral

2 chapters of KRIS/WILSON manuscript

Assignment Information:

Assigned to: \_\_\_\_\_

/Hardy

b6 -2

Difficulty:      Simple      Medium      Difficult

Estimated Completion Date: \_\_\_\_\_

Closed: 3/8/10

Date of Response Letter: \_\_\_\_\_

Miscellaneous: \_\_\_\_\_

2/24/10 CV Review

3/3/10 Returned Fr CV

b6 -2

[redacted]

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**From:** Gary Noesner [redacted]  
**Sent:** Wednesday, January 20, 2010 9:20 AM  
**To:** [redacted]  
**Subject:** "Stalling for Time" manuscript submittal  
**Attachments:** Bu copy.doc

b6 -1

b6 -2

Dear [redacted]

b6 -2

First, let me thank you for your prompt telephonic response yesterday and your subsequent helpful guidance on the pre-publication review process. I greatly appreciate your assistance in this matter.

Attached is the manuscript for a book I have authored, entitled: "Stalling for Time." This book addresses my lengthy career as [redacted]

b6 -1

[redacted] The book contains no classified information or commentary regarding any pending investigations, nor does it disclose any sensitive techniques. All of the negotiation guidance provided within as been published by the FBI law enforcement bulletin or other similar publications. I have read through the pre-publication guidance provided and have identified no issues that should present an obstacle to approval for this manuscript. My publisher Random House will no doubt make some editing changes before final publication, but the essential content contained within the enclosed manuscript draft should remain unchanged.

I understand that this manuscript will need to be reviewed by subject matter experts within the FBI, presumably at [redacted] before final authorization. I also understand that the manuscript will not be disclosed to anyone not directly involved in the approval process.

b6 -1

For your use, my home mailing address is: [redacted]  
[redacted]

I will look forward to hearing from you if you or anyone else in the process has any questions or requires any additional information.

Finally, I would greatly appreciate it if you could please send a brief email acknowledging receipt of this manuscript.

Thank you,

Gary Noesner

Gary Noesner  
[redacted]

b6 -1

2/23/2010

*Bulky*

2577-42-31627616-190  
PREPUB-5987



U.S. Department of Justice

Federal Bureau of Investigation

Washington, D. C. 20535-0001

March 31, 2010

Mr. Gary Noesner



b6 -1

Re: Manuscript entitled, "Stalling for Time"

Dear Mr. Noesner,

This letter is in response to the January 21, 2010, submission for review, pursuant to the Federal Bureau of Investigation's (FBI) Prepublication Review Policy (PRP) (Manual of Administrative Operations and Procedures (MAOP), Part I, 1-24 and 26.1 through 26.4), of your manuscript entitled, "Stalling for Time".

The article was reviewed pursuant to the terms of the PRP and it was concluded that nothing contained in the submission fell within a restricted area of disclosure, therefore there is no objection to your providing the manuscript, as presented, for publication. Although the names may be available in the open media. We would request that in high profile cases you protect the names of victims from release.

You may include your former title or position as a biographical detail if it is given no more prominence than other significant biographical details, and if it is accompanied by a reasonably prominent disclaimer advising that the views expressed in the article do not necessarily represent the views of the FBI.

Should you have any questions, please contact [redacted] at 540-868-1697. Thank you for your participation in the FBI's prepublication review process.

b6 -2

Sincerely,

*mailed 4/12/10*

David M. Hardy  
Section Chief  
Record/Information  
Dissemination Section  
Records Management Division

PREPUB-5988

257A-H- 21-10-191



# The FBI Oral History Project

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and the Former Agents of the FBI Foundation, Inc.  
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800-527-7372 • fax 703-640-6537  
[www.socxfbi.org](http://www.socxfbi.org)

February 22, 2010

[Redacted]  
Prepublication Review Office  
Records Management Division  
Federal Bureau of Investigation  
Washington, D.C. 20535-0001

b6 -2

Ref: Interview of Former Special Agent of the FBI Robin L. Montgomery

Dear [Redacted]

b6 -2

The enclosed transcription of an interview of former Special Agent Robin L. Montgomery was conducted by former Special Agent Susan Wynkoop on November 18, 2009. It has been edited for repetitions and spelling. Mr. Montgomery has read the transcription and made his corrections. This final transcription was completed on February 21, 2010.

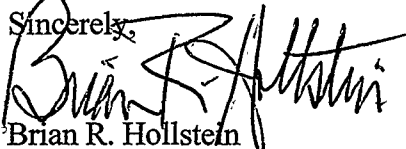
The transcription includes an index.

We request your review of the material so that it can be made available to scholars and researchers interested in this period of the Federal Bureau of Investigation.

Please call me at [Redacted] if you have any further questions about this submission. Any correspondence should be addressed to the address below. Thank you for your assistance in completing this review.

b6 -1

Sincerely,



Brian R. Hollstein  
Manager, FBI Oral History Heritage Project

[Redacted]

b6 -1

259A-HQ-C1679046-192

Fed EX  
3/8/10

PREPUB-5989